

Atty. Docket No.: 003364.P139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the application of:)
Hyun-Soo Kim)
Serial No.: 10/672,220)
Filed: September 26, 2003))
For: HIGH SPEED OPTICAL SIGNAL PROCESSOR INCLUDING SATURABLE ABSORBER AND GAIN-CLAIMED OPTICAL AMPLIFIER))

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is a Supplemental Information Disclosure Statement to the one which was filed September 26, 2003.

In an Office Action dated November 16, 2004, the Examiner noted that a reference listed on Form P1449/PTO, namely Cleo '97 Tuesday, May 20 pages 124 and 125 was not received by the Office and therefore has not been considered. As shown on the postcard receipt submitted herewith, the Information Disclosure Statement filed September 26, 2003 included three prior art references which matches the number of references listed on the previously submitted Form P1449A/PTO. It is unknown why the reference is not part of the application file. However, applicant resubmits the Information Disclosure Statement with the Cleo '97 reference and request its consideration as if it had been filed September 26, 2003 which is the date it was, in fact, filed.

Respectfully submitted,

By: Eric S. Hyman Reg. No. 30,139

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025

(310) 207-3800

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450 on

Kumiko Alexander

Date

ESH:kla

-1-

Substitute for form 1449A/PTO

ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

Sheet	1	of	

Complete if Known				
Application Number	10/672,220	_		
Filing Date	September 26, 2003	_		
First Named Inventor	Hyun-Soo Kim	_		
Art Unit	2873			
Examiner Name	Stultz, Jessica T			
Attorney Docket Number	3364P139			

	U.S. PATENT DOCUMENTS						
_		Document Number	Publication Date	None of Polester as	Pages, Columns, Lines, Where		
Examiner Initials*	Cite No.1	Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pessages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	D. Hillanda - Onto		Pages, Columns, Lines,	T6			
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	١٠			
						<u> </u>			

Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁰Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Substitute for form 1449A/PTO		Complete if Known			
			CUDE	Application Number	10/672,220
INFORMATION DISCLOSURE		Filing Date	September 26, 2003		
STATEMENT BY APPLICANT			CANT	First Named Inventor	Hyun-Soo Kim
(use as many sheets as necessary)		Art Unit	2873		
		Examiner Name	Stultz, Jessica T		
Sheet	2	of	2	Attorney Docket Number	3364P139

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		Cleo '97, Tuesday, May 20, pages 124 and 125				

Examiner	 Date		-
Signature	Considered	1	
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

TRANSIVITIAL FORIVI		Filing Date	September 26, 2003	
(to be used for all	used for all correspondence after initial filing)		First Named Inventor	Hyun-Soo Kim
			Art Unit	2873
		Examiner Name	Stultz, Jessica T	
Total Number of	Pages in This Submission	on 6	Attomey Docket Number	3364P139
	ENCLO	SURES (ched	ck all that apply)	
Fee Transmittal	Form	Drawing(s))	After Allowance Communication to Group
Fee Attac	hed	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina Affidavits	ıl /declaration(s)	Petition to C Provisional	Convert a Application	Proprietary Information
Extension of Tim	ne Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter
Express Abando	nment Request	Terminal D	Disclaimer	Other Enclosure(s) (please identify below):
	losure Statement	Request for	Refund	return postcard; supplemental IDS; 1
Certified Copy of Document(s)	-	CD, Number	er of CD(s)	reference
Response to Mis				
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	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR A	GENT
Firm or	Eric S. Hyman	, Reg. No. 30	,139	
Individual name	BLAKELY, S	SOKOLOFE,	TAYLOR & ZAF	MAN LLP
Signature		91	6	
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				vice on the date shown below with sufficient 1450, Alexandria, VA 22313-1450.
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Application No.

10/672,220

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TOTAL AMOUNT OF PAYMENT

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Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 02/10/2004. SEND TO. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEE TRANSMITTAL for FY 2004 Effective 01/01/2004. Patent fees are subject to annual revision. Application Number 10/672,220 Filing Date September 26, 2003 First Named Inventor Hyun-Soo Kim Application Number 10/672,220 Filing Date September 26, 2003 First Named Inventor Hyun-Soo Kim Examiner Name Stultz, Jessica T Art Unit 2873

Attorney Docket No.

3364p139

METHOD OF PAYMENT (check all that apply)				FE	EE CALCULATION (continued)
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ Nor	3. A	DDITIO	NAL	FEES	S
Deposit Account	1	e Entity	Sma	ll Entity	ty
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Deposit Account Number 02-2666					Fee Description Fee Pai
Number	1061 1062	130 50	2051	65 25	<u> </u>
Account Name Blakely, Sokoloff, Taylor & Zafman LLP					cover sheet.
	2053 1812	130 2,520	2053 1812	130 2,520	
The Commissioner is authorized to: (check all that apply)	1804	920 *	1804	920	· · · · · · · · · · · · · · · · · · ·
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or underpayment of fees as required under 37 CFF			,		Examiner action
₩ §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after Examiner action
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month
1. BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month
Large Entity Small Entity	1254	1,530	2254	765	Extension for reply within fourth month
Fee Fee Fee Fee Description Fee Pa	id ₁₂₅₅	2,080	2255	1,040	Extension for reply within fifth month
<u> </u>	1404	340	2401	170	Notice of Appeal
1001 790 2001 395 Utility filing fee 1002 350 2002 175 Design filing fee	1402	340	2402	170	Filing a brief in support of an appeal
1003 550 2003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing
1004 790 2004 395 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	
SUBTOTAL (1) (\$)	1453	1,370	2453	685	Petition to revive - unintentional
0 EVEDA OLAMA SECO	1501	1,370 490	2501 2502	685 245	Utility issue fee (or reissue)
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Pa	1	660	2503	330	Design issue fee Plant issue fee
Total Claims . 20 = X	1460	130	2460	130	Petitions to the Commissioner
Independent X = X	1807	50	1807	50	
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per
Fee Fee Fee Fee Description Code (\$) Code (\$)					property (times number of properties)
1202 18 2202 9 Claims in excess of 20	1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))
1201 88 2201 44 Independent claims in excess of 3	1810	790	2810	395	
1203 300 2203 150 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))
1204 88 2204 44 **Reissue independent daims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)
	1802	900	1802	900	Request for expedited examination of a design application
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other	ee (specify)		_	
SUBTOTAL (2) (\$)	-				_
**or number previously paid, if greater, For Reissues, see below	Reduc	ed by Basic	Filing Fe	e Paid	SUBTOTAL (3) (\$)
SUBMITTED BY Complete (if applicable)					
Name (Print/Type) Eric S. Hyman	5	egistratio	n No.		30,139 Telephone (310) 207-3800
Die G. Hyman	<u>/ 1</u> /	ttorney/Age	ent)	٠	
Signature	W/1	ヘス			Date 12667